

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JCG97 U.S. PRO 03/06/03
JC997/800212



Applicants : **Jeffrey K. Lange, Robert H. Paine,**) Examiner: **To Be Assigned**
Jeremiah L. Parry-Hill, and)
Steven H. Wunrow)
Serial No. : **To Be Assigned**) Art Unit:
Cnfrm. No. : **To Be Assigned**) **To Be Assigned**
Filed : **Herewith**)
For : **METHOD AND SYSTEM FOR**
PROVIDING AUTOMATED CAPTIONING
FOR AV SIGNALS)

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §§ 1.97-1.98**

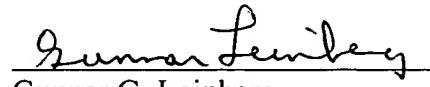
Assistant Commissioner for Patents
Washington, D.C. 20231
Box: Patent Application

Dear Sir:

Pursuant to 37 CFR §§ 1.97-1.98, applicants hereby bring to the attention of the United States Patent and Trademark Office, the enclosed references listed on the attached PTO-1449 form.

Respectfully submitted,

Date: March 6, 2001



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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use several sheets if necessary) (PTO-1449)	ATTY. DOCKET NO.	SERIAL NO.
	1819/100121	To Be Assigned
	APPLICANT	
	Jeffrey K. Lange, Robert H. Paine, Jeremiah L. Parry-Hill, and Steven H. Wunrow	
FILING DATE	GROUP ART UNIT	
Herewith	To Be Assigned	

JC997 U.S. PTO
09/00212 03/05

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1	5,543,851	06 AUG 1996	Chang			
	2	5,677,739	14 OCT 1997	Kirkland			
	3	5,701,161	23 DEC 1997	Williams et al.			
	4	5,737,725	07 APR 1998	Case			
	5	5,774,857	30 JUN 1998	Newlin			
	6	6,076,059	13 JUN 2000	Glickman et al.			
	7	6,166,780	26 DEC 2000	Bray			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION IF APPROPRIATE

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

		8	Abstracts 1-13 [retrieved March 21, 2000]. Retrieved from The Computer Database (CDB), NERAC Inc., Question No. 1065787.002, "Demo: Hearing-Impaired"
		9	Stuckless, "Recognition Means More Than Just Getting the Words Right: Beyond Accuracy to Readability," <u>Speech Technology</u> pgs. 30-35 (October/November 1999)
EXAMINER			DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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09/00214
03/06/01



EXPRESS MAIL CERTIFICATE

DOCKET NO. : **1819/100121**

APPLICANTS : **Jeffrey K. Lange, Robert H. Paine, Jeremiah L. Parry-Hill, and Steven H. Wunrow**

TITLE : **METHOD AND SYSTEM FOR PROVIDING AUTOMATED CAPTIONING FOR AV SIGNALS**

Certificate is attached to the **Information Disclosure Statement (1 page)** and **PTO-1449 Form (1 page)** in duplicate with 9 references of the above-named application.

“EXPRESS MAIL” NUMBER: **EL709322700US**

DATE OF DEPOSIT: **March 6, 2001**

I hereby certify that this paper or fee is being deposited with the United States Postal Service “Express Mail Post Office to Addressee” service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, **Box: Patent Application**, Washington, D.C. 20231.

John Campa

(Typed or printed name of person mailing paper or fee)



(Signature of person mailing paper or fee)